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Reg. No. :

Code No. : 8552

Sub. Code : PKCM 43

M.Com. (CBCS) DEGREE EXAMINATION,
APRIL 2022.

Fourth Semester

Commerce – Core

FINANCIAL MARKETS

(For those who joined in July 2017 onwards)

Time : Three hours

Maximum : 75 marks

PART A — (10 × 1 = 10 marks)

Answer ALL questions.

Choose the correct answer :

1. The Full form of SEBI is _____.
(a) Sale Exchange Board of India
(b) Sale Effort Board of India
(c) The Securities and Exchange Board of India
(d) None of the above

2. A financial market may or may not have a _____ location.
(a) right (b) wrong
(c) physical (d) none of the above
3. Financial market is a _____ that facilitates trading of securities / financial instruments.
(a) platform (b) bag
(c) parcel (d) none of the above
4. The foreign exchange market is also called _____, FX, or currency market.
(a) bundle (b) capture
(c) Forex (d) none of the above
5. _____ bills are short term liability of the Government of India.
(a) Trade (b) Taunt
(c) Treasury (d) None of the above
6. Treasury bill rate is _____.
(a) Constant
(b) Long
(c) Changing
(d) None of the above



7. The other name of New Issue market is _____ market.

- (a) Primary
- (b) Secondary
- (c) Bad
- (d) None of the above

8. The full form of OTCEI is _____.

- (a) One two centre equip Inspiring
- (b) One to centre equip Inspiring
- (c) Over the Counter Exchange of India
- (d) None of the above

9. The Stock Exchange of our country is called _____.

- (a) National Stock Exchange
- (b) India Stock Exchange
- (c) Indian Stock exchange
- (d) None of the above

10. Stock exchange is _____.

- (a) unpredictable (b) predictable
- (c) certain (d) none of the above

PART B — (5 × 5 = 25 marks)

Answer ALL questions, choosing either (a) or (b).
Each answer should not exceed 250 words.

11. (a) List out the Protective functions of SEBI.

Or

(b) What are the different types of financial markets?

12. (a) List out any five functions of financial markets.

Or

(b) What are the objectives of money market?

13. (a) What are the situations when Public Financial institutions underwrite some issued capital?

Or

(b) Explain secondary market and its merits.

14. (a) List out any five functions of Stock Exchange and Explain.

Or

(b) What are the steps followed in a Stock Exchange? Explain.



15. (a) Enumerate the various Departments of SEBI.

Or

- (b) Explain any five functions of Money market.

PART C — (5 × 8 = 40 marks)

Answer ALL questions, choosing either (a) or (b)
Each answer should not exceed 600 words.

16. (a) "The functions of SEBI are numerous" –
Discuss any eight.

Or

- (b) "The Act of SEBI confers a number of powers
on the Appellate Tribunal" — Explain.

17. (a) Explain the role and functions of Financial
Systems.

Or

- (b) Mention any eight of the differences between
money market and Capital market.

18. (a) Give an elaborate account of the features of
money market.

Or

- (b) What are the constituents of organized
modern sector of Indian money market?
Explain any eight.

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19. (a) Explain any eight guidelines for New Issue.

Or

- (b) What is NSE and what are the salient
features of NSE?

20. (a) Enumerate the Listing requirements of NSE
and explain in detail.

Or

- (b) What are the features of OTCEI? Explain.
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