

Reg. No.....

Code No: SS22470E

Sub. Code: SMBA43

B.B.A.(CBCS) DEGREE SPECIAL SUPPLEMENTARY EXAMINATION, APRIL 2020

FOURTH SEMESTER

BUSINESS ADMINISTRATION - Main

FINANCIAL SERVICES

(For those who joined in July 2017 onwards)

Time : Three hours

Maximum: 75 marks

Part - A (10 X 1 = 10 marks)

Answer all questions, choose the correct answer

1. Banks implement the RBI's _____ policies.
 a) Monetary b) Credit c) Commercial d) Both (a) and (b)
2. _____ is regarded as the fourth element of the financial system.
 a) Financial services b) Financial markets
 c) Financial instruments d) Financial institutions
3. Non fund based activities are also called as _____.
 a) Fund capital based b) Interest based
 c) Fee based d) Managing the capital issue.
4. In hire purchase system, each installment is treated as _____ till the last installment is paid.
 a) Interest b) Hire charge c) Payment d) Credit
5. Which of the following document must be read carefully in order to get details on risk factors before investing in Mutual Fund Units?
 a) KIM b) SAI c) SID d) None of the above
6. The company which sets up mutual fund is called _____.
 a) sponsor b) trustee c) agent d) asset manager
7. _____ is a financial intermediary who helps to mobilize and transfer capital from those who possess it to those who need it.
 a) Lease finance b) Venture capital c) Merchant banker d) hire purchaser
8. Functions of a factor exclude _____.
 a) Credit rating b) Credit recording
 c) Credit administration d) Credit financing.
9. Merchant bank is an organization that _____.
 a) Underwrites securities for corporations b) Advice clients on mergers
 c) Involved in ownership of commercial ventures d) All of the above

Continuation Sheet

10. Credit rating is only a ----- and not recommendation to a debt instrument.

- a) license b) guidance c) acceptance d) remittance

PART – B – (5 x 5 = 25 marks)

Answer ALL questions, choosing either (a) or (b).

11. a) List out the salient features of financial services.

(or)

b) Write a note on the growth of financial services in India.

12. a) Describe any five fund based financial services.

(or)

b) Explain the contents of a lease agreement.

13. a) Give the classification of Mutual funds.

(or)

b) What are the risks associated with mutual funds?

14. a) What is Factoring? Explain its modus operandi.

(or)

b) State the benefits of forfeiting.

15. a) Discuss the qualities required for a Merchant banker.

(or)

b) What are the advantages of credit rating?

PART – C – (5 x 8 = 40 marks)

Answer ALL questions, choosing either (a) or (b).

16. a) Explain the financial services available in India.

(or)

b) Describe the problems faced by the financial services sector.

17. a) Discuss the differences between Hire purchase and Leasing.

(or)

b) List out the advantages and disadvantages of Hire purchase.

18. a) State the benefits of Mutual funds.

(or)

b) Explain the SEBI regulation on the Mutual funds.

19. a) Briefly explain the various services rendered by factoring intermediaries.

(or)

b) Explain the characteristics of venture capital.

20. a) Discuss the role of Merchant Bankers in the Capital Market.

(or)

b) Explain briefly the credit rating process.